



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Curt V. Avallone )  
Application No.: 09/828,444 ) Group Art Unit: 3621  
Filed: 04-06-2001 ) Examiner: F. Backer

For: METHOD AND SYSTEMS FOR PROVIDING PERSONALIZED  
INFORMATION TO USERS IN A COMMERCIAL ESTABLISHMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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GROUP 3600

SIR:

The following are included with this Information Disclosure Statement:

- ☒ **A. PTO/SB/08**
- ☐ **B. CERTIFICATION**  
(37 C.F.R. 1.97(c)-before final Office Action or allowance)
- ☐ **C. \$180.00 FEE PAYMENT**  
(37 C.F.R. 1.97(c)-before final Office Action or allowance)
- ☐ **D. \$130.00 PETITION, CERTIFICATION & PETITION FEE  
PAYMENT**  
(37 C.F.R. 1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with  
37 CFR 1.98:

- ☒ **I.** A copy of each listed U.S. Patent [1.98(a)(2)(i)].
- ☐ **II.** A copy of each listed foreign patent and/or published foreign patent  
application. For each listed foreign patent and/or published foreign patent  
application [1.98(a)(2)]:
  - ☐ a) the English language version or an English translation if not  
published in English, if available (see PTO-1449)[1.98(a)(3)];  
and/or
  - ☐ (b) U.S. counterpart patents or English language equivalents of the  
foreign patents or published foreign patent applications (see PTO-  
1449); and/or

- ☐ (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
  - ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
  - ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- ☐ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].

This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:

- ☒ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- ☐ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).

☐ 6. This information disclosure is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:

☐ I, \_\_\_\_\_, hereby state the following:


☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ 7. This information disclosure statement is submitted with the fee of \$240 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. \_\_\_\_\_. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).

☐ 8. In addition to the above statement, this information disclosure is submitted with a petition for consideration and petition fee of \$130 required by 1.17(i)(1) (see items 4 and 5 above). Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,

  
Brian T. Sattizahn  
Reg. No. 46,401



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Information Disclosure Statement  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet One of One

**Complete if Known**

Application Number	09/828,444
Filing Date	04-06-2001
First Named Inventor	Curt V. Avallone
Group Art Unit	3621
Examiner Name	F. Backer
Attorney Docket Number	20807-0003

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)				
↓ PEI	AA	US- 2001/0014868 A1		08-16-2001	Herz et al.	
	AB	US- 2002/0007304 A1		01-17-2002	Kasajima et al.	
	AC	US- 2002/0049628 A1		04-25-2002	West et al.	
	AD	US- 6,385,592 B1		05-07-2002	Angles et al.	
	AE	US- 2002/0087405 A1		07-04-2002	Barnett et al.	
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GROUP 3600**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†*
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)					

Examiner Signature	/Pierre Elisca/	Date Considered	04/15/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## TRANSMITTAL FORM

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Application Number	09/828,444
Filing Date	04-06-2001
First Named Inventor	Curt V. Avallone
Group Art Unit	3621
Examiner Name	F. Backer
Attorney Docket Number	20807-0003

Total Number of Pages in This Submission

### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

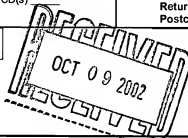
- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Other Enclosure(s)  
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Remarks



### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	McNees Wallace & Nurick Brian T. Sattizahn
Signature	<i>B. T. Sattizahn</i>
Date	9-26-2002

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Signature	<i>B. T. Sattizahn</i>
Date	9-26-2002

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